# Private Multi-Winner Voting for Machine Learning

Adam Dziedzic, Christopher A Choquette Choo, Natalie Dullerud, Vinith Menon Suriyakumar, Ali Shahin Shamsabadi, Muhammad Ahmad Kaleem, Somesh Jha, Nicolas Papernot, Xiao Wang



### Single-Label vs Multi-Label Classification



## Single-Label vs Multi-Label Classification

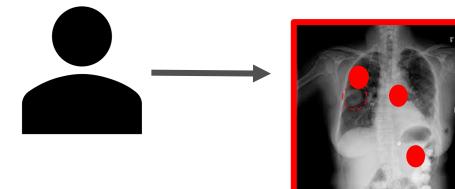




### **Multi-Label:**

Cardiomegaly (CA) - enlarged heart Edema (ED) - fluid trapped in a tissue Hernia (HE) - organ bulges out

### Multi-Label Classification in Medicine

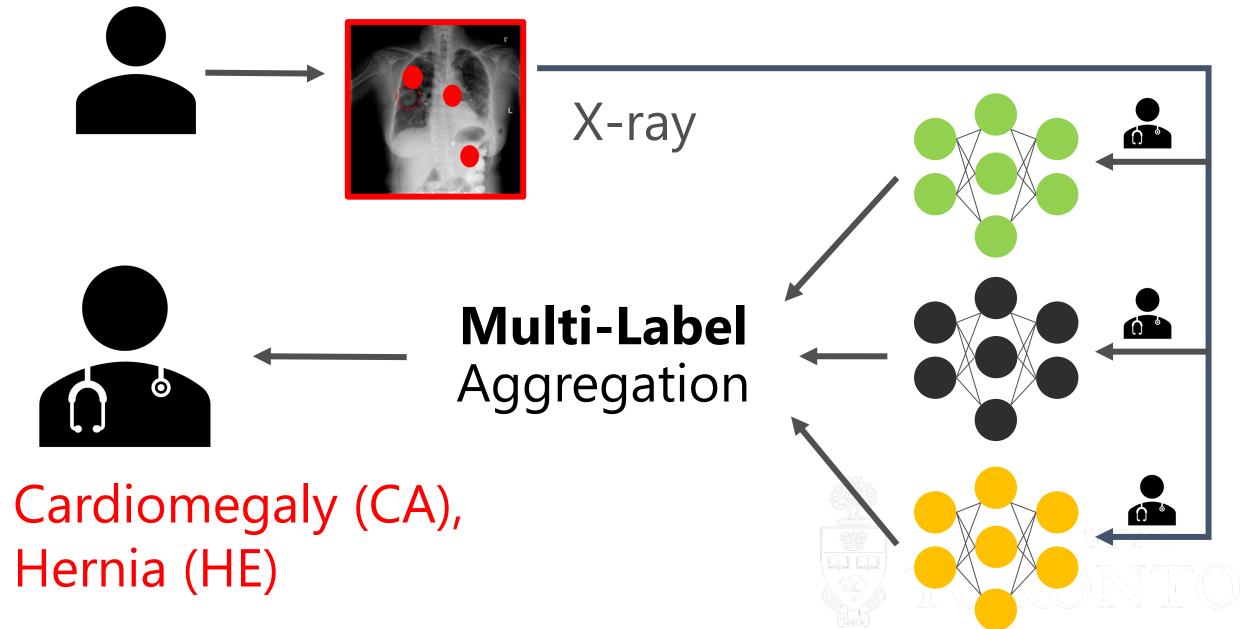


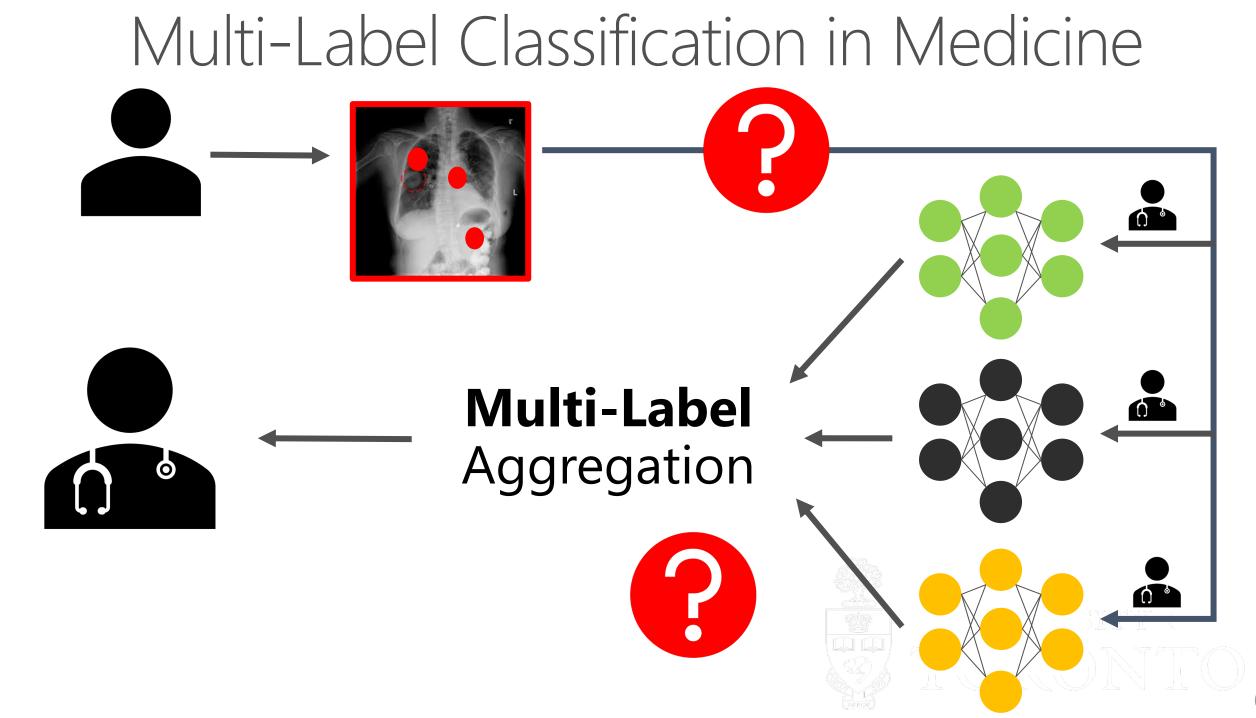


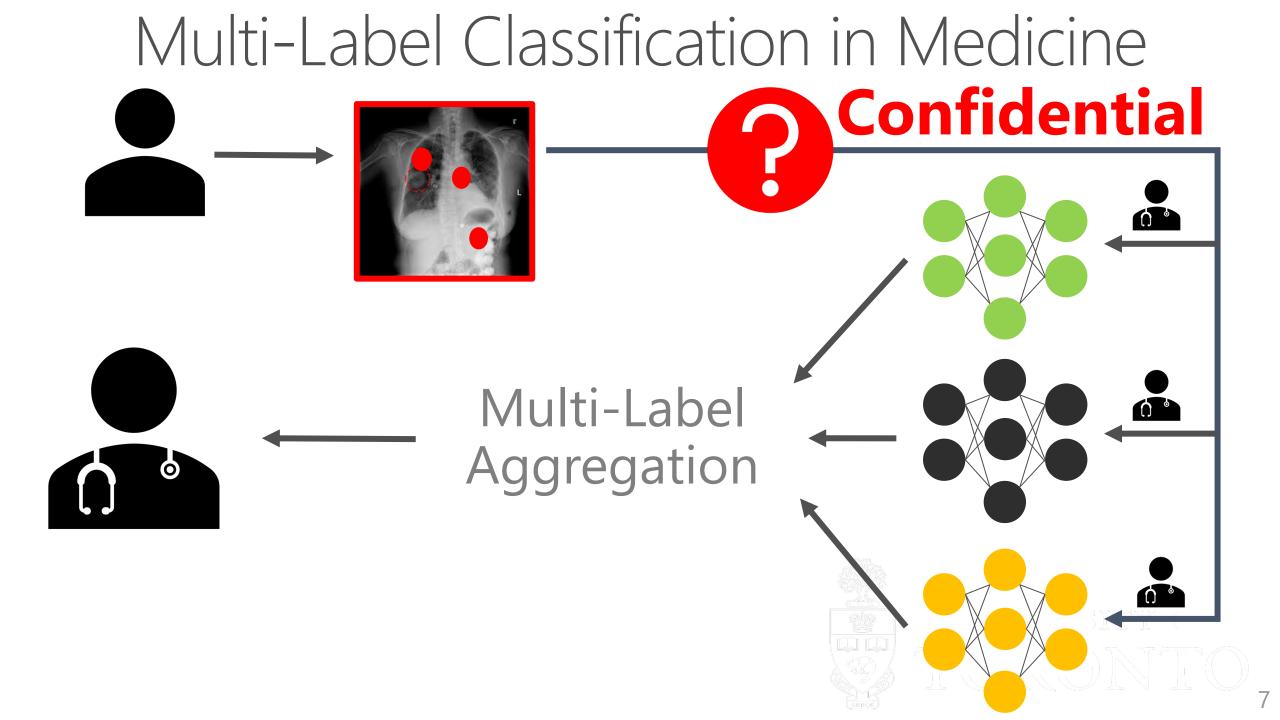


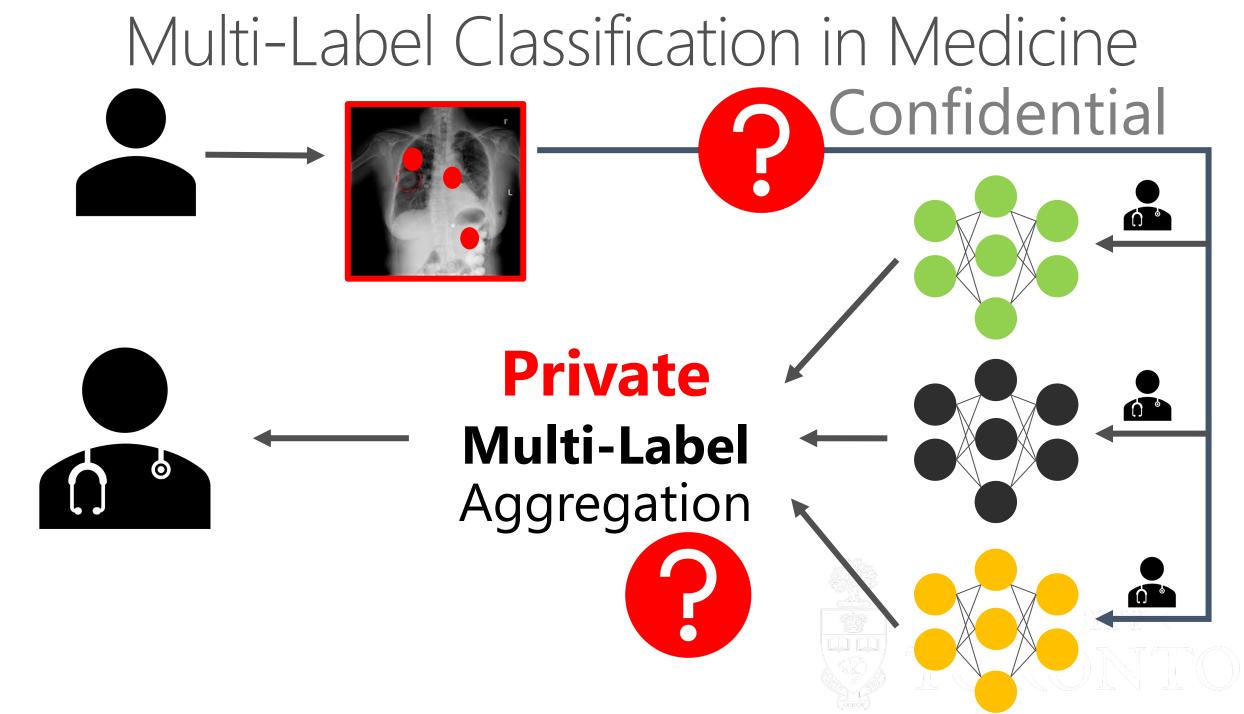


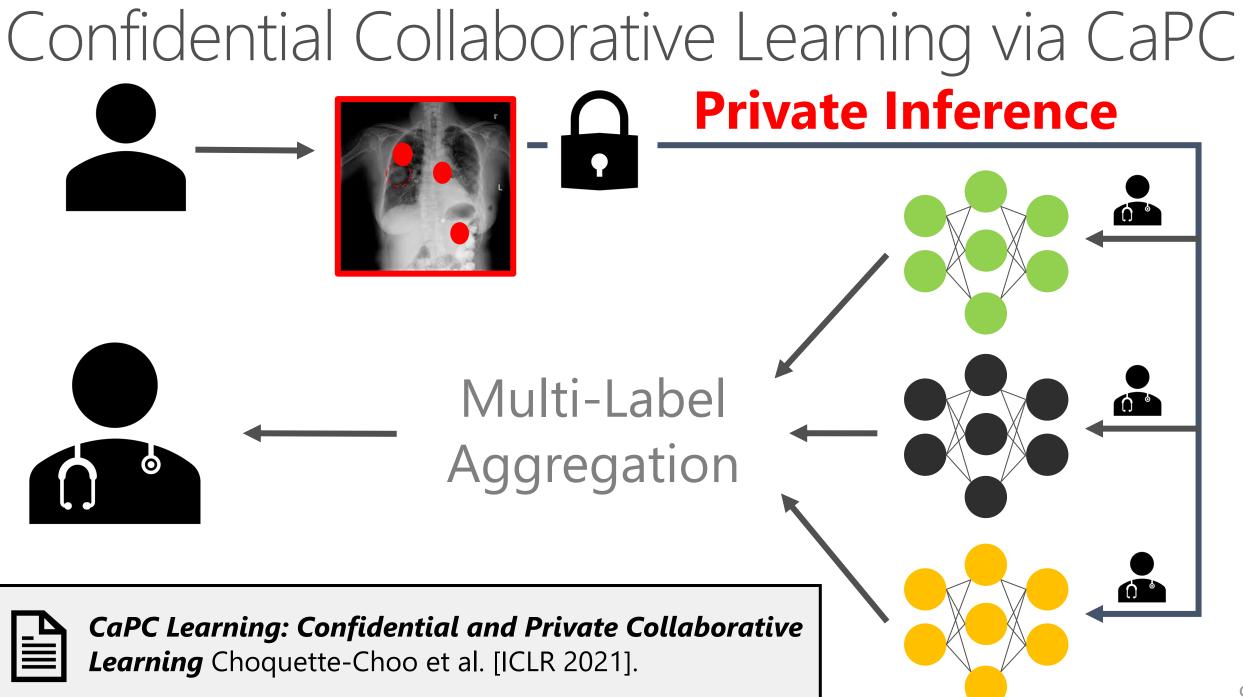
### Multi-Label Classification in Medicine

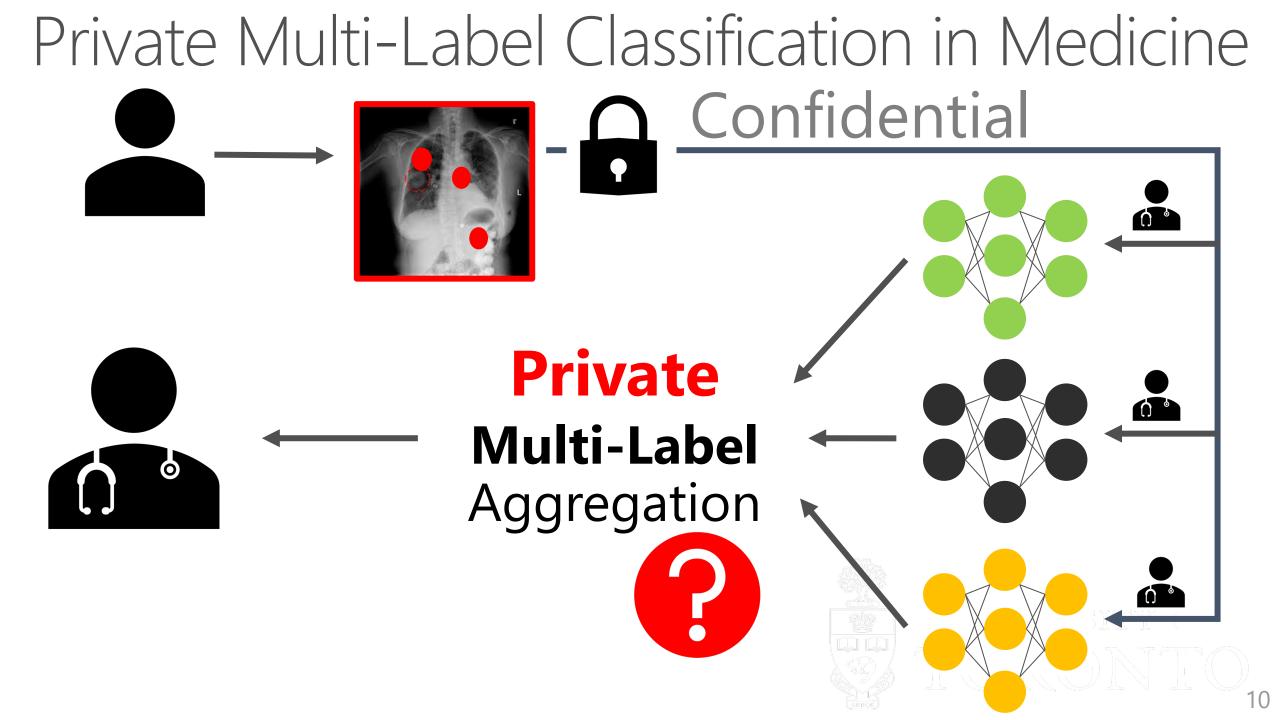


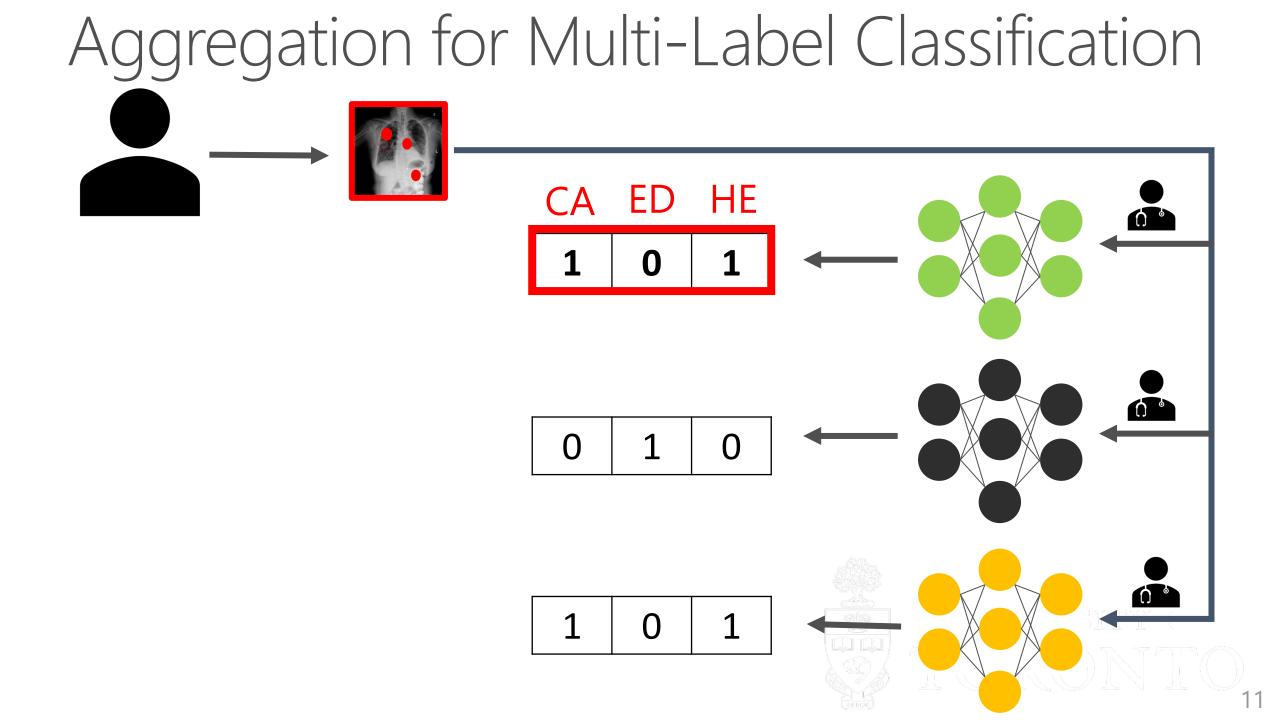


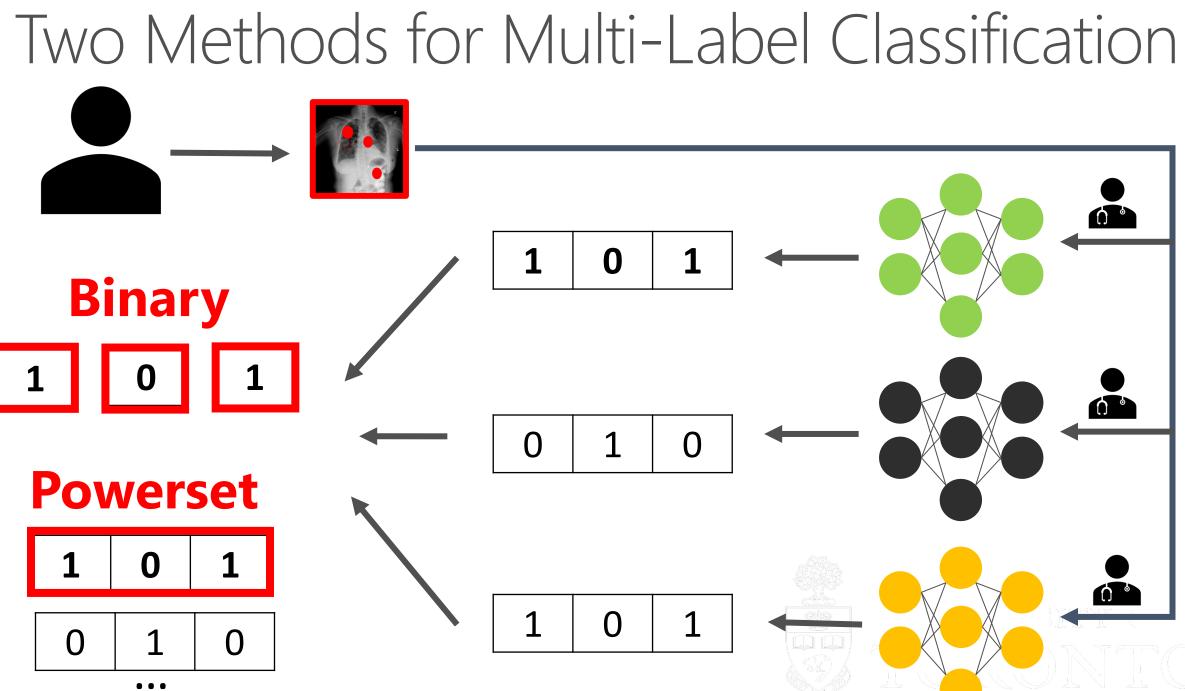


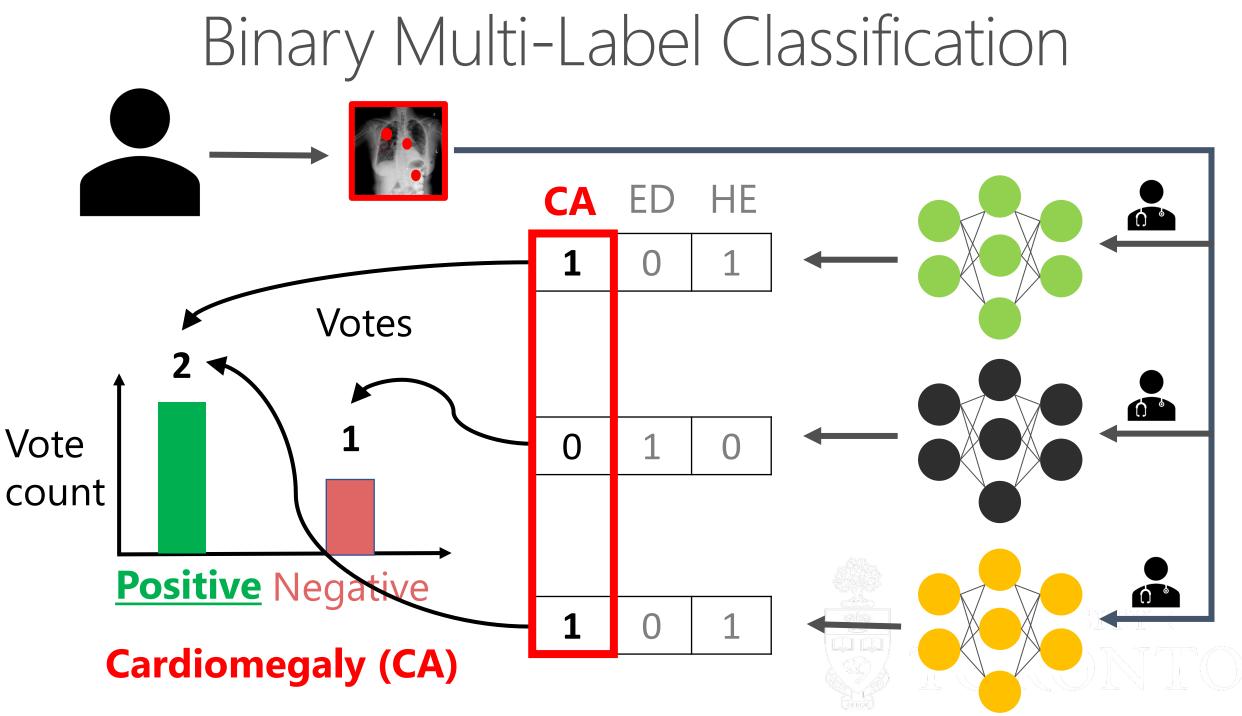




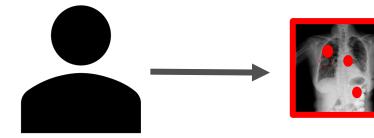




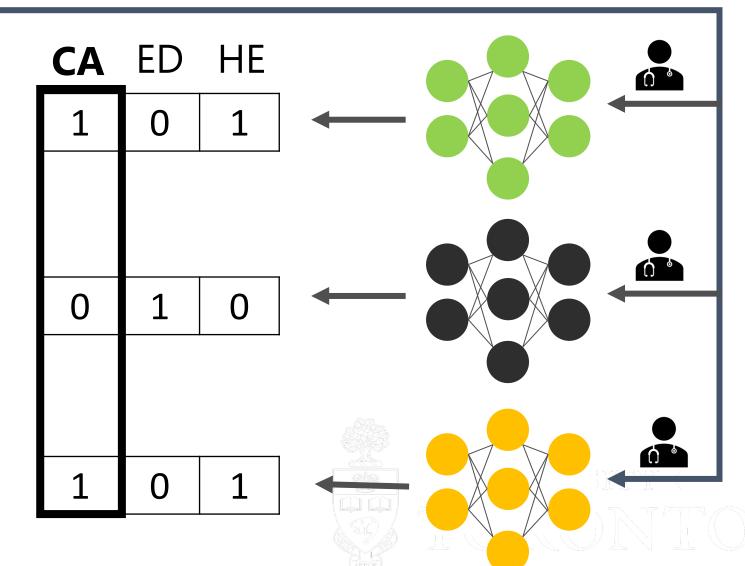




### Private Binary Multi-Label via Noisy ArgMax



#### **PATE framework with DP**



#### **Gaussian Noise**

Vote count

Positive Negative

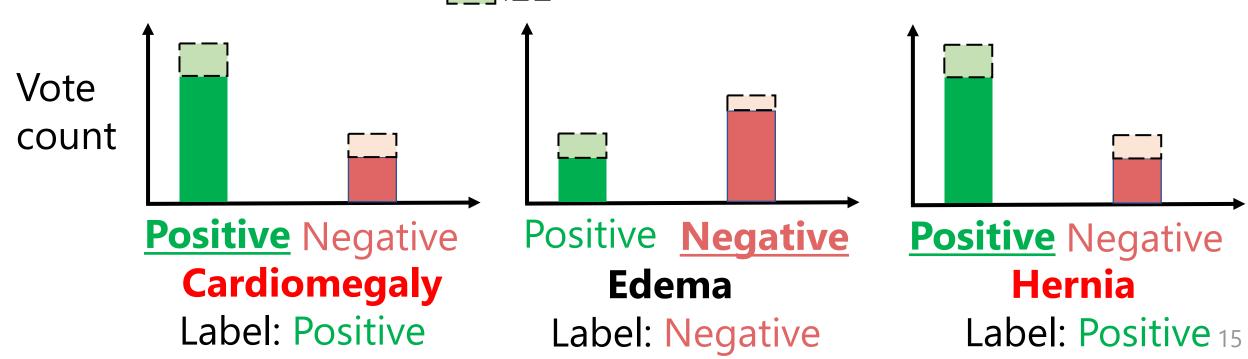
**Cardiomegaly (CA)** 

### **Private Binary** Multi-Label Classification

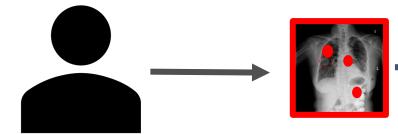


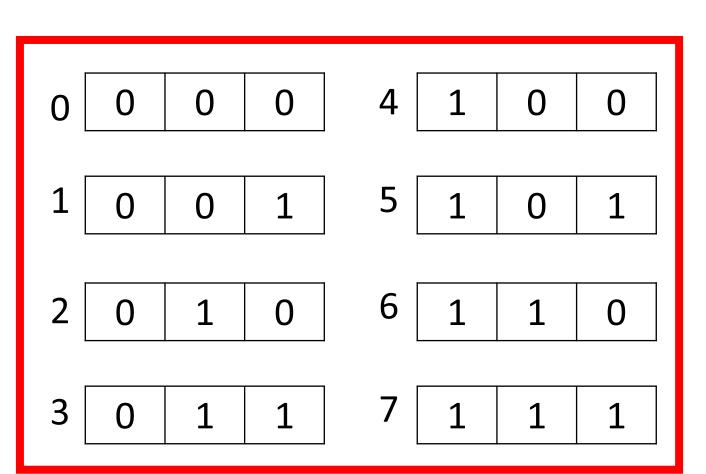
Multi-winner election for a set of voters each with a vote per label

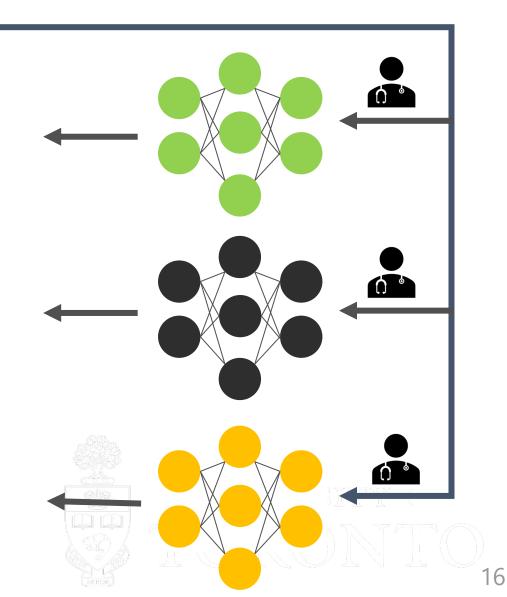
Gaussian Noise



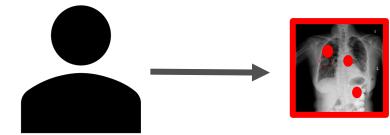
### Alternative **Powerset** of All Possible Votes







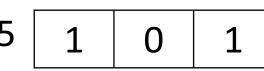
### Alternative **Powerset** Multi-Label Classification

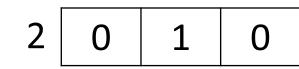


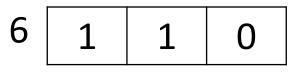
#### # of classes grows exponentially: $2^N$





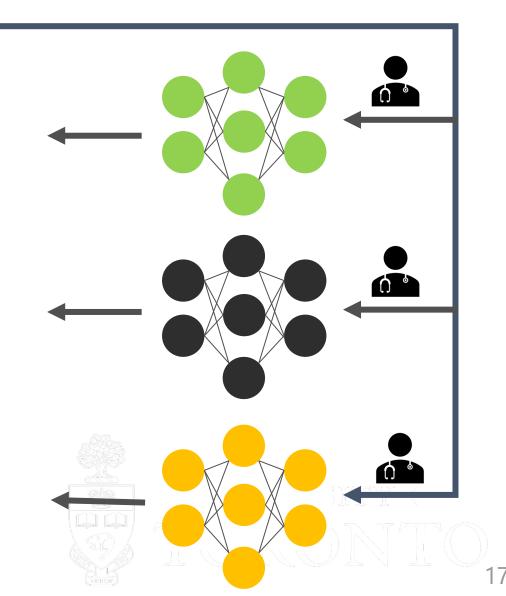




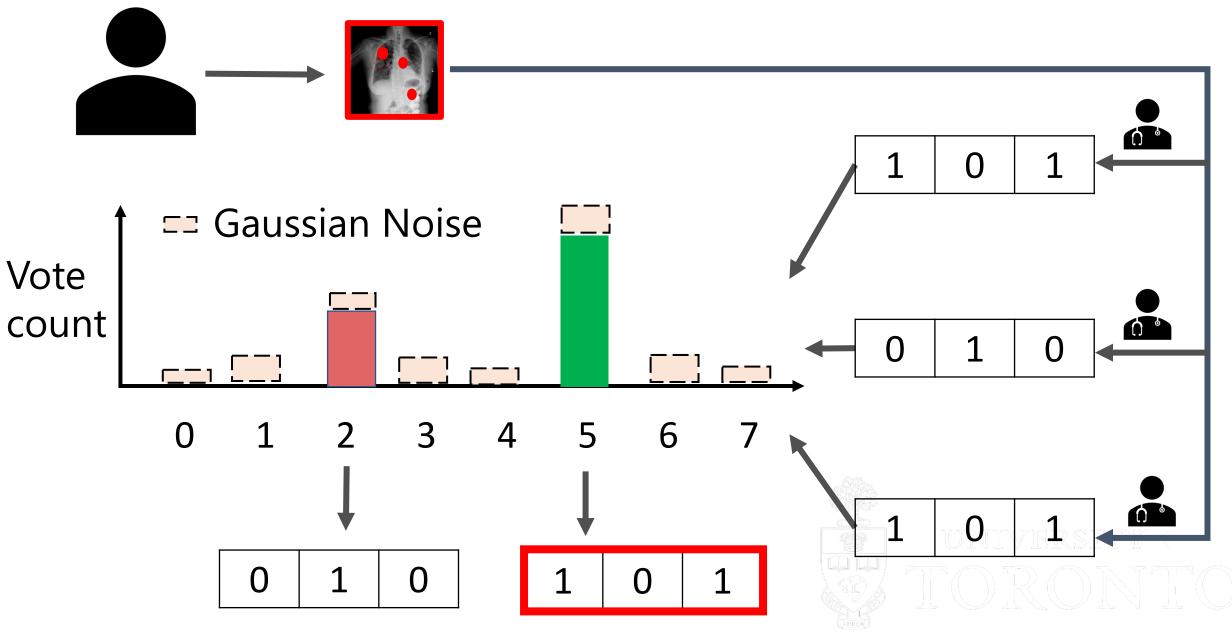


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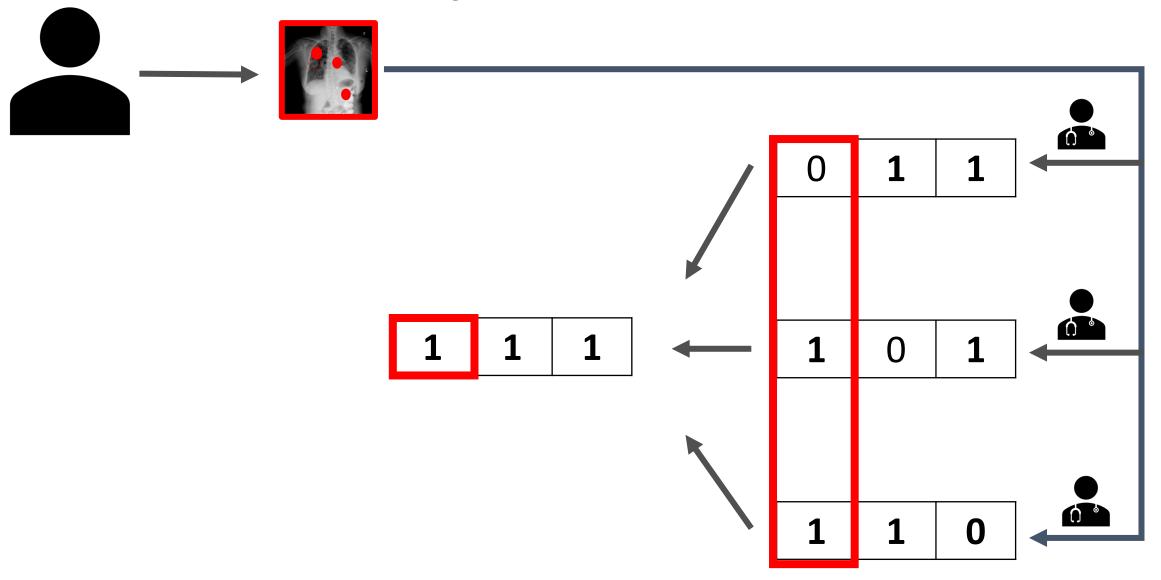


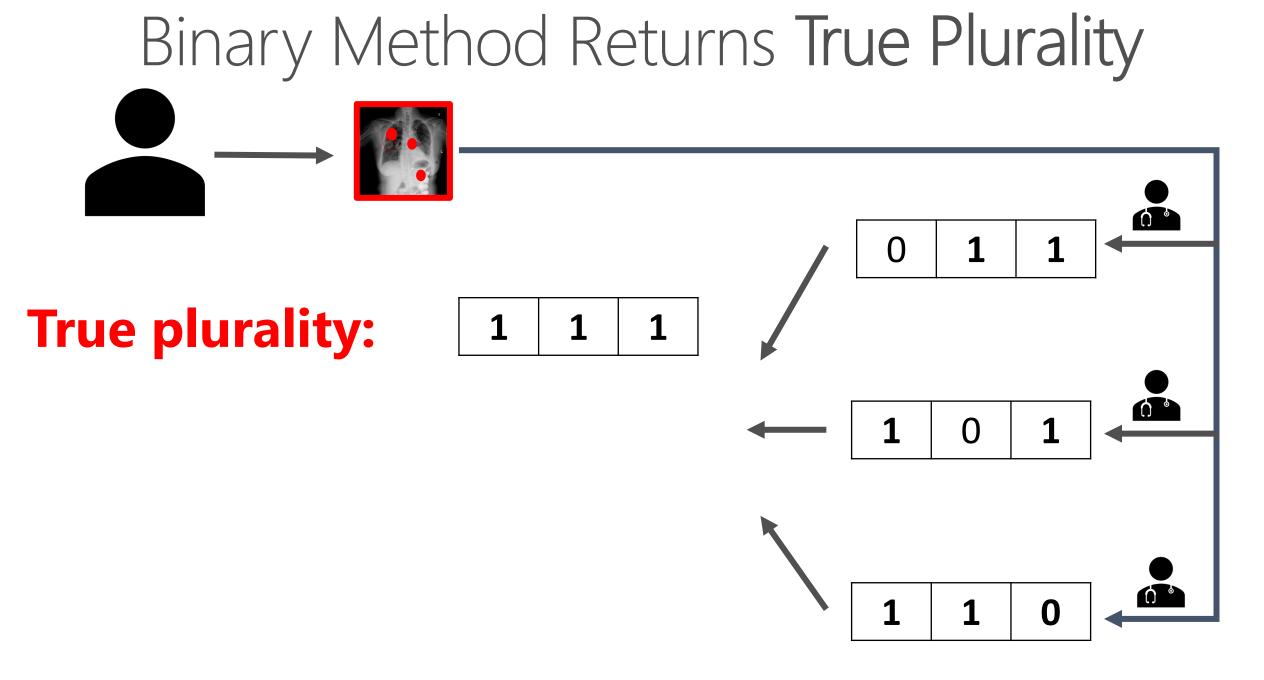


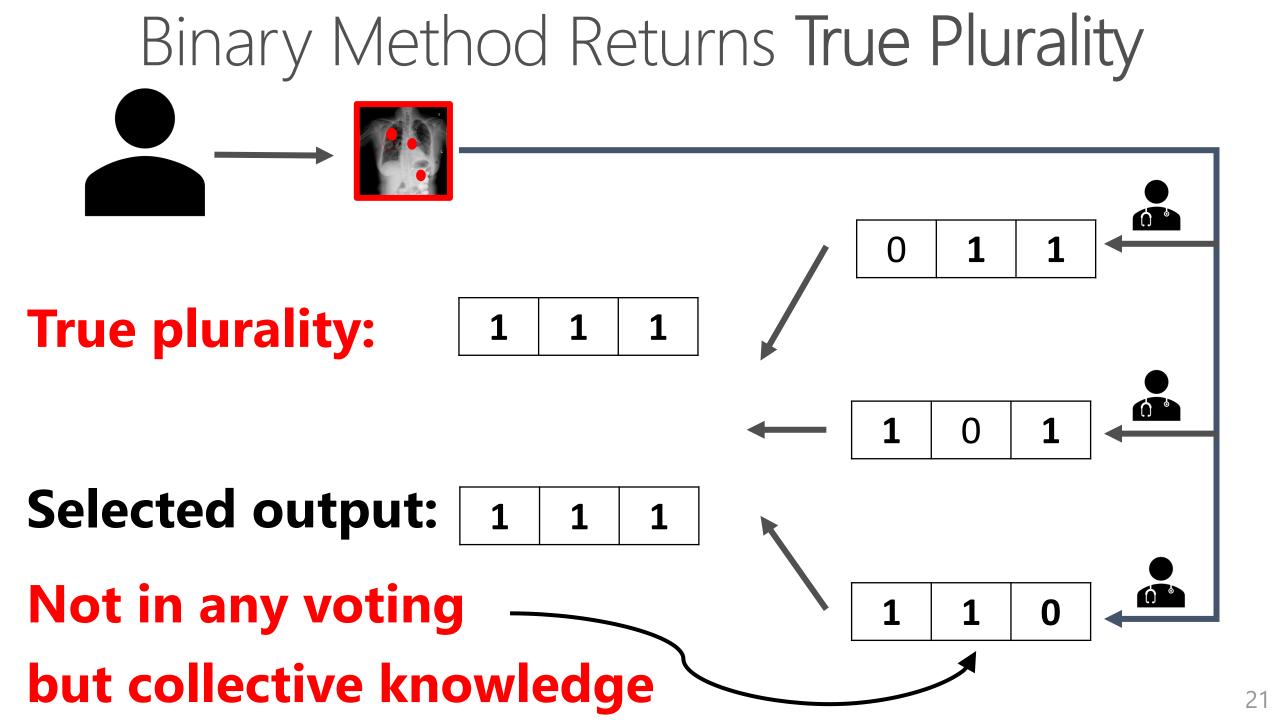
### Powerset Multi-Label Classification



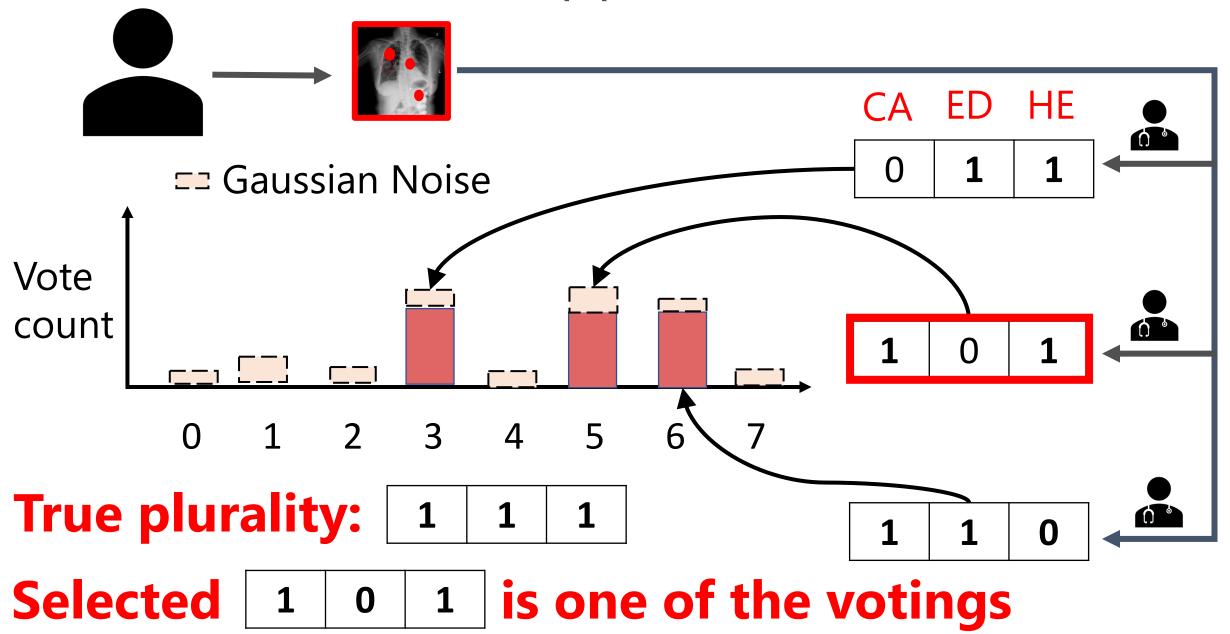
### Binary vs Powerset

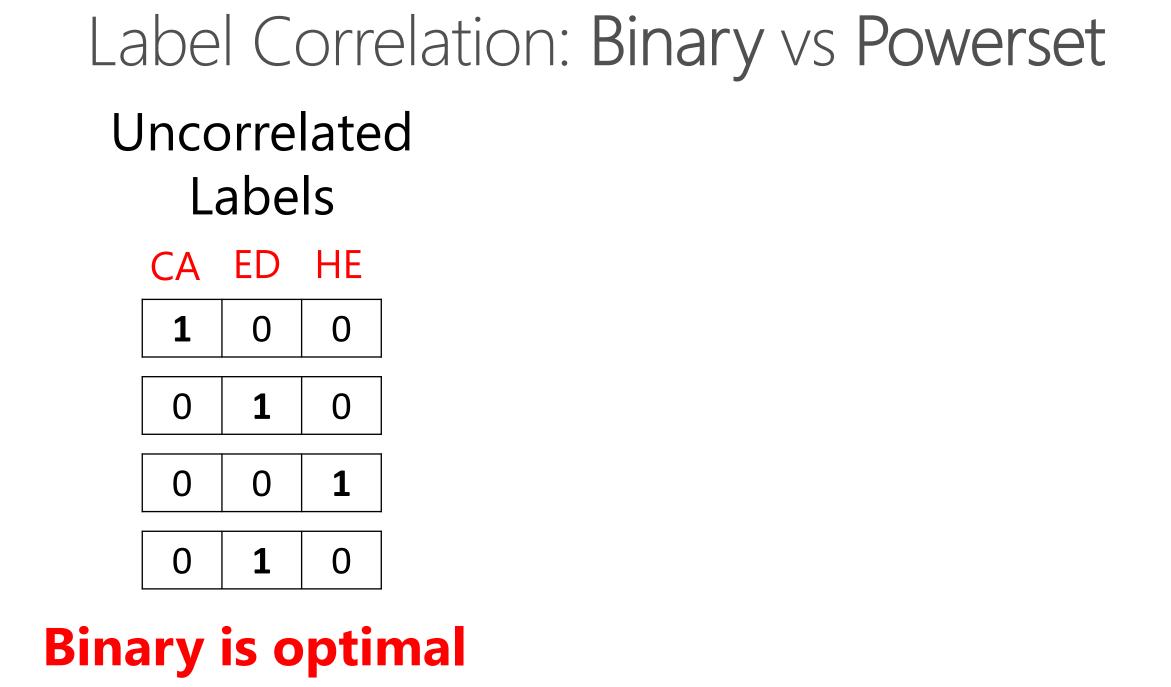


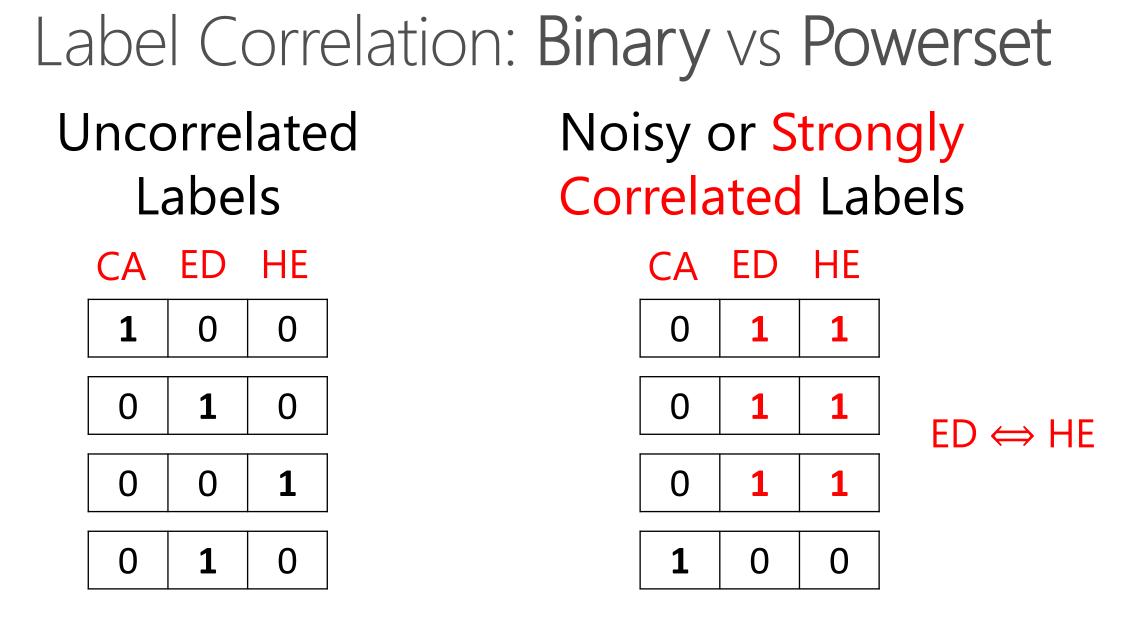




**Powerset** Method Approximates True Plurality

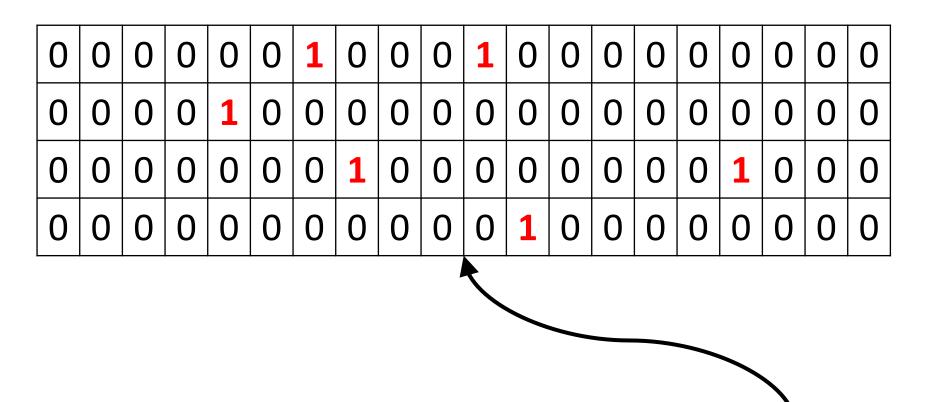






Binary is optimal Powerset performs better

## Clipping the Number of Positive Votes



### Pascal VOC Dataset Avg. 2 out of 20 labels

### Clipping Positive Votes per Answering Party

$$b_{j} = \boxed{1} \boxed{1} \boxed{1}$$
Max 2 votes
$$b_{j} = \min\left(1, \frac{\tau}{\|b_{j}\|_{2}}\right) b_{j}$$

### L<sub>2</sub> clipping for Binary

### Clipping Positive Votes per Answering Party

$$b_{j} = \begin{bmatrix} 1 & 1 & 1 \end{bmatrix}$$
Max 2 votes
$$b_{j} = \min\left(1, \frac{\tau}{\|b_{j}\|_{2}}\right) b_{j}$$

$$\|b_{j}\|_{2}^{2} = 2$$

$$b_{j} = \sqrt{2}/\sqrt{3} \sqrt{2}/\sqrt{3} \sqrt{2}/\sqrt{3}$$

### L<sub>2</sub> clipping for Binary

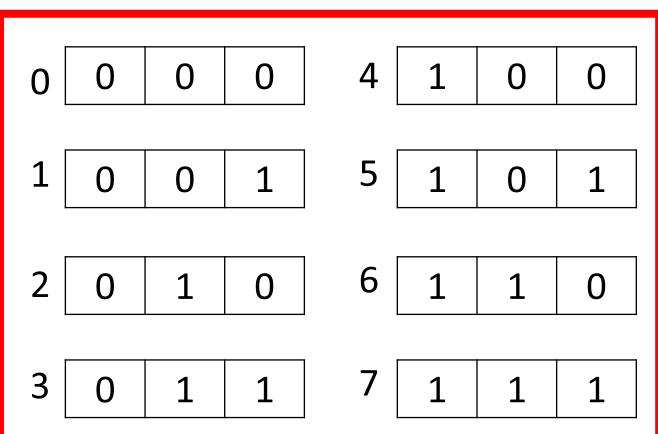
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$$b_{j} = \boxed{1} \boxed{1} \boxed{1}$$
Max 2 votes
$$b_{j} = \min\left(1, \frac{\tau}{\|b_{j}\|_{2}}\right) b_{j}$$

$$\|b_{j}\|_{2}^{2} = 2$$

$$b_{j} = \sqrt{2}/\sqrt{3} \sqrt{2}/\sqrt{3} \sqrt{2}/\sqrt{3}$$

Max 3 votes



L<sub>2</sub> clipping for Binary

#### All Powerset classes

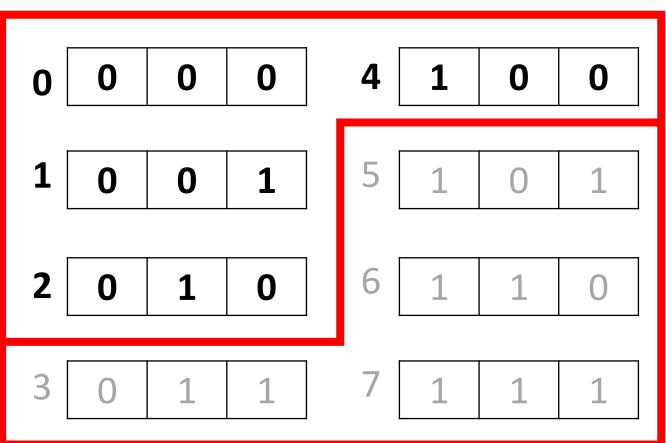
## Clipping Positive Votes per Answering Party

$$b_{j} = \boxed{1} \qquad 1 \qquad 1$$
Max 2 votes
$$b_{j} = \min\left(1, \frac{\tau}{\|b_{j}\|_{2}}\right) b_{j}$$

$$\|b_{j}\|_{2}^{2} = 2$$

$$b_{j} = \sqrt{2}/\sqrt{3} \sqrt{2}/\sqrt{3} \sqrt{2}/\sqrt{3}$$

Max 1 vote



L<sub>2</sub> clipping for Binary Fewer classes for Powerset

### Metrics for Multi-Label Classification

Accuracy (ACC) = (TP + TN) / (P + N)

Balanced Accuracy (BAC) = 
$$\frac{1}{2}$$
(TPR + TNR)

Area-Under-the-Curve (AUC) =  $\int_0^1 t(f) df$ , t(f) = TPR / FPR

Mean-Average-Precision (MAP) = TP / (TP + FP)

### Compare Binary vs Powerset Mechanisms

Pascal VOC, 20 labels, ResNet 50,  $\varepsilon = 20$ ,  $\delta = 1e^{-5}$ 

Method	ACC	BAC	AUC	MAP
Non-private	0.97	0.85	0.97	0.85
DPSGD	0.92	0.50	0.68	0.40
Powerset	0.94	0.58	0.70	0.29
Binary	0.94	0.62	0.85	0.57

### Confidential & Private Collaborative Learning

Pascal VOC, 20 labels, ResNet 50,  $\varepsilon = 20$ ,  $\delta = 1e^{-5}$ 

Method	ACC	BAC	AUC	MAP
Before	0.93	0.59	0.88	0.54
After	0.94	0.64	0.89	0.55

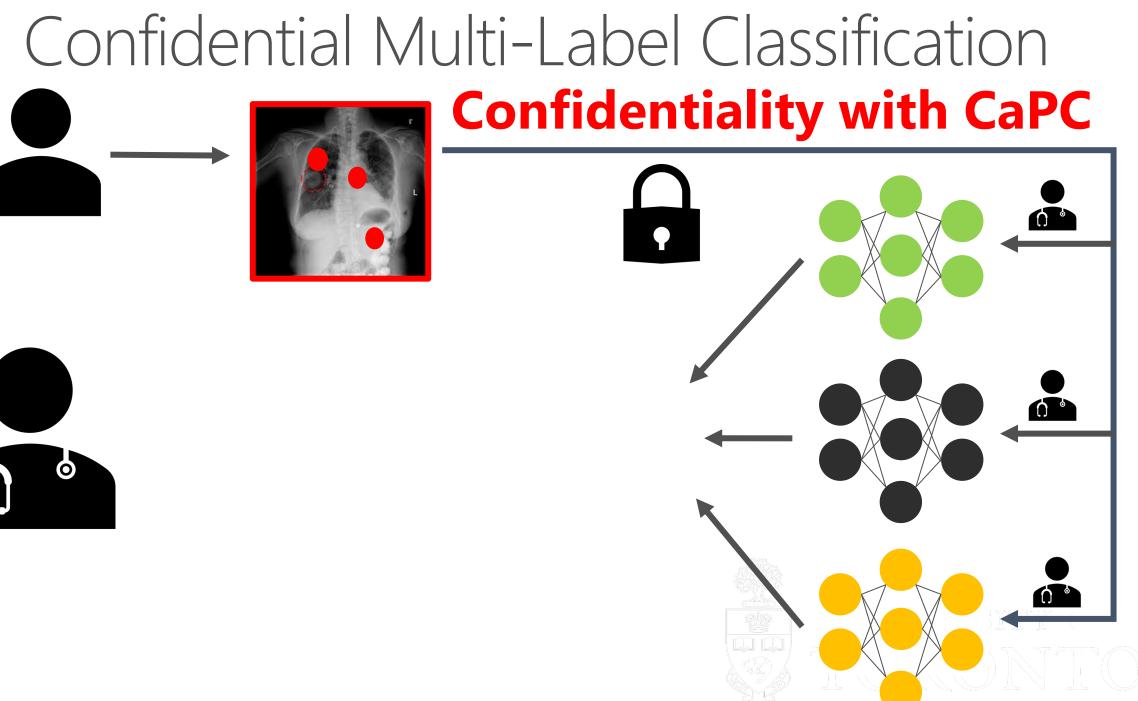
### Models Improve with Multi-Label CaPC

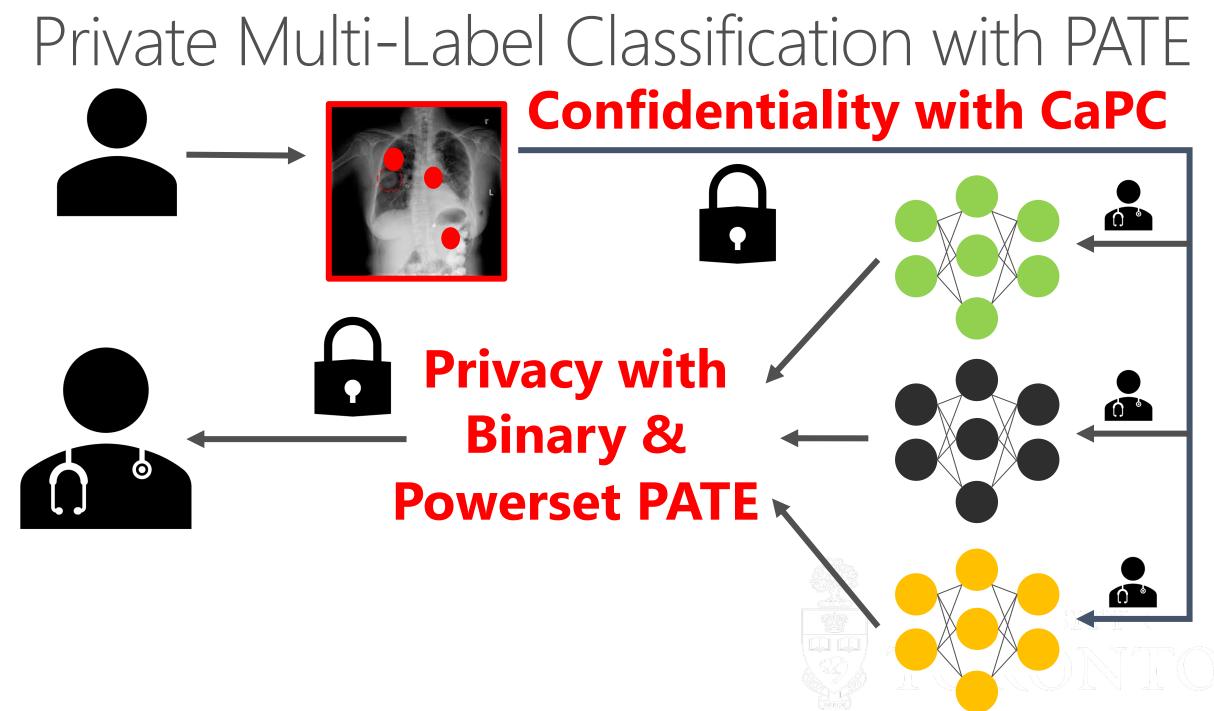
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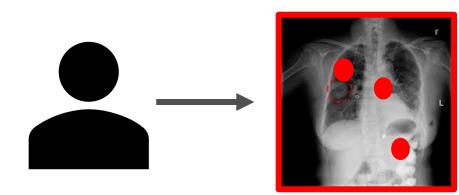
MIMIC, 11 labels, DenseNet 121,  $\varepsilon = 20$ ,  $\delta = 1e^{-6}$ 

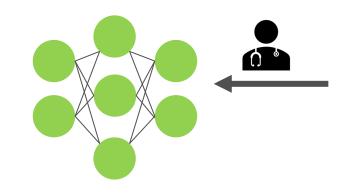
Method	ACC	BAC	AUC	MAP
Before	0.84	0.63	0.78	0.43
After	0.85	0.64	0.79	0.45

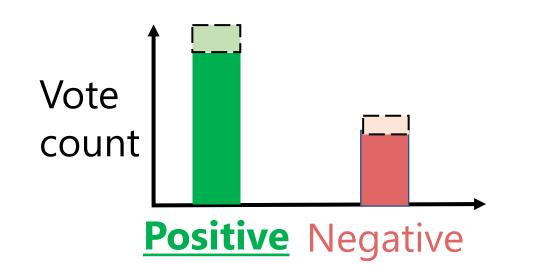




# Thank you

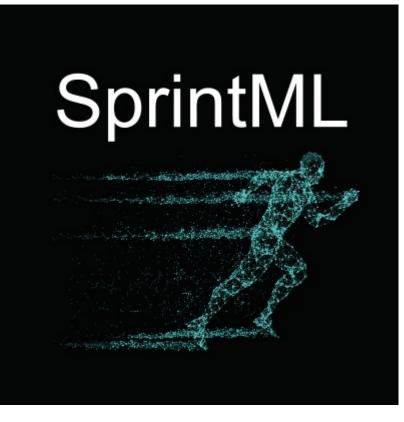






Multi-Label PATE & CaPC

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We are hiring Ph.D. students, Postdocs, and Research Interns with a research focus in one or multiple of the following areas:

- Privacy-Preserving Machine Learning
- Secure and Robust Machine Learning
- Distributed and Federated Learning
- Machine Learning Model Confidentiality
- Trustworthy Language Processing



### Retraining with Multi-Label CaPC ( $\epsilon = 10$ )

Dataset	# of Models	State	$\mathrm{PB}\left(\varepsilon\right)$	ACC	BAC	AUC	мАР
	1	Initial	-	.97	.85	.97	.85
Pascal VOC	50	Before CAPC	-	$.93 {\pm} .02$	$.59 \pm .01$	.88±.01	$.54 \pm .01$
PASCAL VUC	50	After CAPC	10	<b>.94±.01</b>	.62±.01	$.88 \pm .01$	$.54 \pm .01$
	50	After CAPC	20	<b>.94±.01</b>	.64±.01	.89±.01	$.55 {\pm} .01$
	1	Initial	-	.79	.78	.86	.72
CheXpert	50	Before CAPC	-	$.77 \pm .06$	$.66 \pm .02$	$.75 \pm .02$	$.58 \pm .02$
	50	After CAPC	20	$.76 \pm .07$	.69±.01	.77±.01	.59±.01
MIMIC	1	Initial	-	.90	.74	.84	.51
	50	Before CAPC	-	$.84 \pm .07$	$.63 \pm .03$	$.78 \pm .03$	$.43 \pm .02$
	50	After CAPC	20	.85±.05	.64±.01	.79±.01	$.45 {\pm} .03$

### Performance of Binary for different $\epsilon$ values

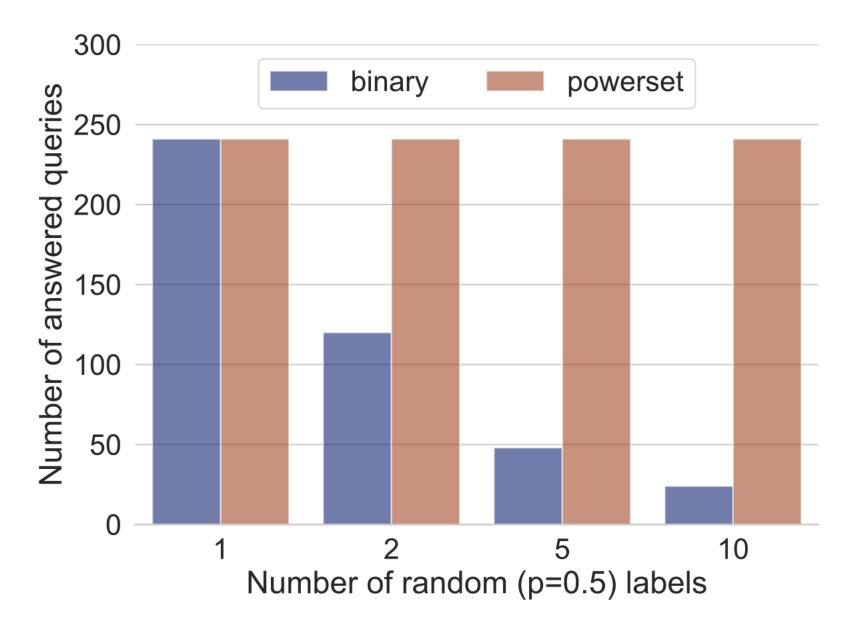
	Queries				
PB ( $\varepsilon$ )	ANSWERED	ACC	BAC	AUC	MAP
1	0	-	-	-	-
2	6	.86	.62	.62	.44
3	13	.93	.67	.67	.53
4	22	.93	.64	.64	.44
5	31	.95	.63	.63	.39
6	40	.95	.67	.67	.45
7	64	.95	.64	.64	.35
8	81	.95	.66	.66	.40
9	101	.95	.60	.60	.28
10	113	.96	.63	.63	.30
11	135	.96	.64	.64	.33
12	165	.96	.65	.65	.35
13	199	.96	.63	.63	.32
14	217	.96	.64	.64	.35
15	239	.96	.63	.63	.32
16	272	.96	.63	.63	.31
17	306	.96	.63	.63	.30
18	332	.96	.63	.63	.31
19	362	.96	.63	.63	.30
20	403	.96	.63	.63	.30

### DPSGD vs PATE on the CheXpert Dataset

We compute the Area-Under-the-Curve (AUC) metric per label. Adaptive denotes the Adaptive DPSGD for multi-label classification.  $\epsilon=8, \delta=10^{-4}$ 

Method	AT	CA	CO	ED	EF	Average
Non-private	0.84	0.80	0.87	0.90	0.91	0.87
DPSGD	0.56	0.53	0.66	0.56	0.62	0.58
Adaptive	0.75	0.73	0.84	0.79	0.79	0.78
<b>BINARY PATE</b>	0.78	0.75	0.84	0.76	0.81	0.79

### Randomly Generated Labels



Compare Binary vs Powerset Mechanisms

Accuracy (ACC) = (TP + TN) / (P + N) Balanced Accuracy (BAC) =  $\frac{1}{2}$ (TPR + TNR) Area-Under-the-Curve (AUC) =  $\int_0^1 t(f) df$ , t(f) = TPR / FPR Mean-Average-Precision (MAP) = TP / (TP + FP)

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Pascal VOC, 20 labels, ResNet 50,  $\varepsilon = 20$ ,  $\delta = 1e^{-5}$