# Memorization in Self-Supervised Learning Improves Downstream Generalization



#### Motivation

Memorization is a relevant concept to understand generalization, learning behavior, and privacy risks;

Self-supervised learning (SSL) Memorization was only empirically explored;

Formal definitions for memorization from supervised learning rely on labels and can not be applied;

#### Contributions

- definition of memorization for SSL • Formal encoders (SSLMem): independent of SSL framework and training loss, operates on representations;
- experimentally • Practical framework for approximating **SSLMem**;
- Extensive empirical evaluation of **SSLMem** on various SSL frameworks and datasets;

#### **Summary of Findings**

I. SSL memorizes especially atypical data points;

 $\geq 0.8$ 





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**MNIST:** class 3 and 6 for different levels of memorization (0: no memorization).

2. Highest memorized data points between different SSL frameworks align but differ significantly to highest memorized points in supervised learning;

Memorization in the SSL encoder increases different generalization downstream over downstream data distributions and tasks;

#### Notation

Symbol	Explanation
$\overline{\mathcal{A}}$	SSL learning algorithm
$S = \{x_i\}_{i=1}^{m}$	Training dataset
$S' = S \setminus x$	Reference dataset
$\mathbf{Aug}(x)$	Augmentation set
$f:\mathbb{R}^n\to\mathbb{R}^d$	Encoder trained on $S$
$g: \mathbb{R}^n \to \mathbb{R}^d$	Reference encoder trained on $S' = S \setminus \{x_j\}$
$S_S$	training data shared between encoders $f$ and $g$
$S_C$	candidate set, training data for $f$ only

### Wenhao Wang\*, Muhammad Ahmad Kaleem\*, Adam Dziedzic\*, Michael Backes, Nicolas Papernot, Franziska Boenisch

CISPA Helmholtz Center for Information Security, University of Toronto, Vector Institute

#### Intuition of SSLMem

- SSL frameworks optimize for *representation* alignment, i.e., two augmentations of the same data point should have close representations;
- Quantify memorization of data point x by comparing representation alignment of encoder ftrained with x and reference encoder g trained without x;
- Intuition: x is memorized more the more f's representation alignment is better than g's;
- If f's and g's representation alignment is close, xdoes not influence f's behavior significantly (no memorization);



#### Formalizing SSLMem

Definition *alignment loss* to quantify representation alignment for metric d, e.g., the  $\ell_2$  distance:

$$\mathbf{L}_{\text{align}}(f, x) = \mathbb{E}_{\substack{x', x'' \sim \text{Aug}(x)}} [d\left(f(x'), f(x'')\right)]$$

Our Definition of Memorization Score:

$$\begin{aligned} \mathcal{H}_{\text{align}}(f, x, S) &= \underset{f \sim \mathcal{A}(S)}{\mathbb{E}} \mathcal{L}_{\text{align}}(f, x) \\ \text{SSLMem}(g, f, x, S', S) &= \mathcal{H}_{\text{align}}(g, x, S') - \mathcal{H}_{\text{align}}(f, x, S) \end{aligned}$$



Encoder alignment loss vs. SSL memorization.



#### Insights into SSLMem

We train an MAE SSL encoder based on VIT-tiny using CIFAR10.



Memorization is not just an effect of increasing/ decreasing accuracy: while loss and accuracy stagnate after a few hundred epochs, memorization increases.



The encoders exhibit memorization indicated by significantly higher scores for  $S_C$  (candidates used to train only f) compared to  $S_S$  (shared training) set for f and g).

Retained Points	CIFAR10	CIFAR100	STL10
25k (full encoder)	$63.3\% \pm 0.92\%$	$61.1\% \pm 1.14\%$	$61.6\% \pm 0.83\%$
24k (most memorized)	$64.4\%{\pm}1.03\%$	$61.3{\pm}0.98\%$	$61.7{\pm}1.18\%$
22k (most memorized)	$63.8\%{\pm}0.76\%$	$61.8{\pm}1.24\%$	$62.4{\pm}1.05\%$
20k (most memorized)	$63.2\% \pm 1.07\%$	$60.8\% \pm 0.68\%$	$61.1 \pm 1.05\%$
16k (most memorized)	$61.8\% \pm 1.11\%$	$58.4\% \pm 0.91\%$	$59.9 \pm 0.89\%$
$12k \pmod{\text{memorized}}$	$59.7\% \pm 0.74\%$	$55.6\% \pm 1.32\%$	$55.2 \pm 1.24\%$

CoreSet Selection: Training only on the most memorized data points yields same performance.

${\mathcal E}$	SSLMem	Acc. $(\%)$
$\infty$	$0.307 \pm 0.013$	$69.40\% \pm 1.12\%$
20	$0.182 \pm 0.009$	$54.22\% \pm 0.98\%$
8	$0.107 \pm 0.012$	$33.66\% \pm 1.76\%$

Effect of differential privacy.





0.650

0.600

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> 0.525 0.500

> 0.475

Removal of memorized data points harms accuracy over all downstream tasks more than the removal of random data points.

mIoU Acc. (%) Evaluating the effect of memorization on a semantic segmentation downstream task.

• We introduce **SSLMem**, a formal definition for memorization in self-supervised learning.

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#### **Evaluation of SSLMem**

Limiting memorization harms downstream accuracy.



	Without removing	Removing 10000		Removing 20000	
		Memorized	Random	Memorized	Random
	45.4	44.8	45.1	43.8	44.4
<b>5</b> )	$69.89\% \pm 0.84\%$	$68.33\% \pm 0.92\%$	$68.91\% \pm 0.77\%$	$66.51\% \pm 1.03\%$	$67.58\% \pm 0.82\%$

#### Conclusions

• SSLMem generalizes across different encoder architectures and SSL training frameworks, and is independent of any downstream task and label.

• We show that encoders require memorization to generalize well to downstream tasks.